

Application No. 10/063,601

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Application of:

Nicholas E. Roddy, et al.

Group Art Unit: 2113

Serial No. 10/063,601

Examiner: Guyton, Philip A.

Filed: 05/03/2002

For: Method And System For Analyzing
Fault And Quantized Operational Data
For Automated Diagnostics Of
Locomotives

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

- ☐ 1. Pursuant to 37 CFR 1.97(b)
(within 3 months of filing or prior to 1st Office Action)
- ☒ 2. Certification Pursuant to 37 CFR 1.97(c)
(before Final Office Action or Allowance)
- ☐ 3. Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)
(after Final Office Action or Allowance but before issue fee payment)

Mail Stop Amendment
Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The following are submitted in the above-identified application in compliance with
37 CFR 1.97 & 1.98:

- ☒ 4. A list of documents on form PTO-1449 is enclosed herewith.

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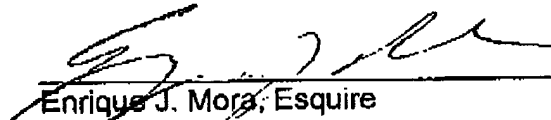
This paper is submitted in accordance with:

- ☐ 5. 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- ☒ 6. 37 CFR 1.97(c): [before Final office Action or Allowance, whichever is earlier; and
- ☐ 7. The required certification made in item 10 below; or
- ☒ 8. The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement.
- ☐ 9. 37 CFR §1.97(d): [after Final Office Action or Allowance, but before issue fee payment]; and
- a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$180.00) required by 37 CFR 1.17(i)(1) is authorized in item 11 below. (Direct this letter to: "Attention: PETITIONS EXAMINER" and, if applicable, include batch locator information: e.g., "Allowed Files, Batch _____, Date of Allowance _____"), and
- b) The required Certification is stated in item 10 below.
- ☐ 10. Certification
- ☐ a) Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement;
- or
- ☐ b) No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- ☒ 11. Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0846.

Application No. 10/063,601

An original and two (2) copies of this document are enclosed.

Respectfully submitted,



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PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete If Known	
				Application Number	10/063,601
				Filing Date	05/03/2002
				First Named Inventor	Nicholas E. Roddy
				Art Unit	2113
				Examiner Name	Guyton, Philip A.
Sheet	2	of	2	Attorney Docket Number	121891

NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1	ROMAN TROBEC and IZIDOR JEREJIC, "Optimization Of Diagnostic Examination," Joint International Conference On Vector And Parallel Processing, Berlin De 1994, pp. 761-772, XP-000974309	

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English Language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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